



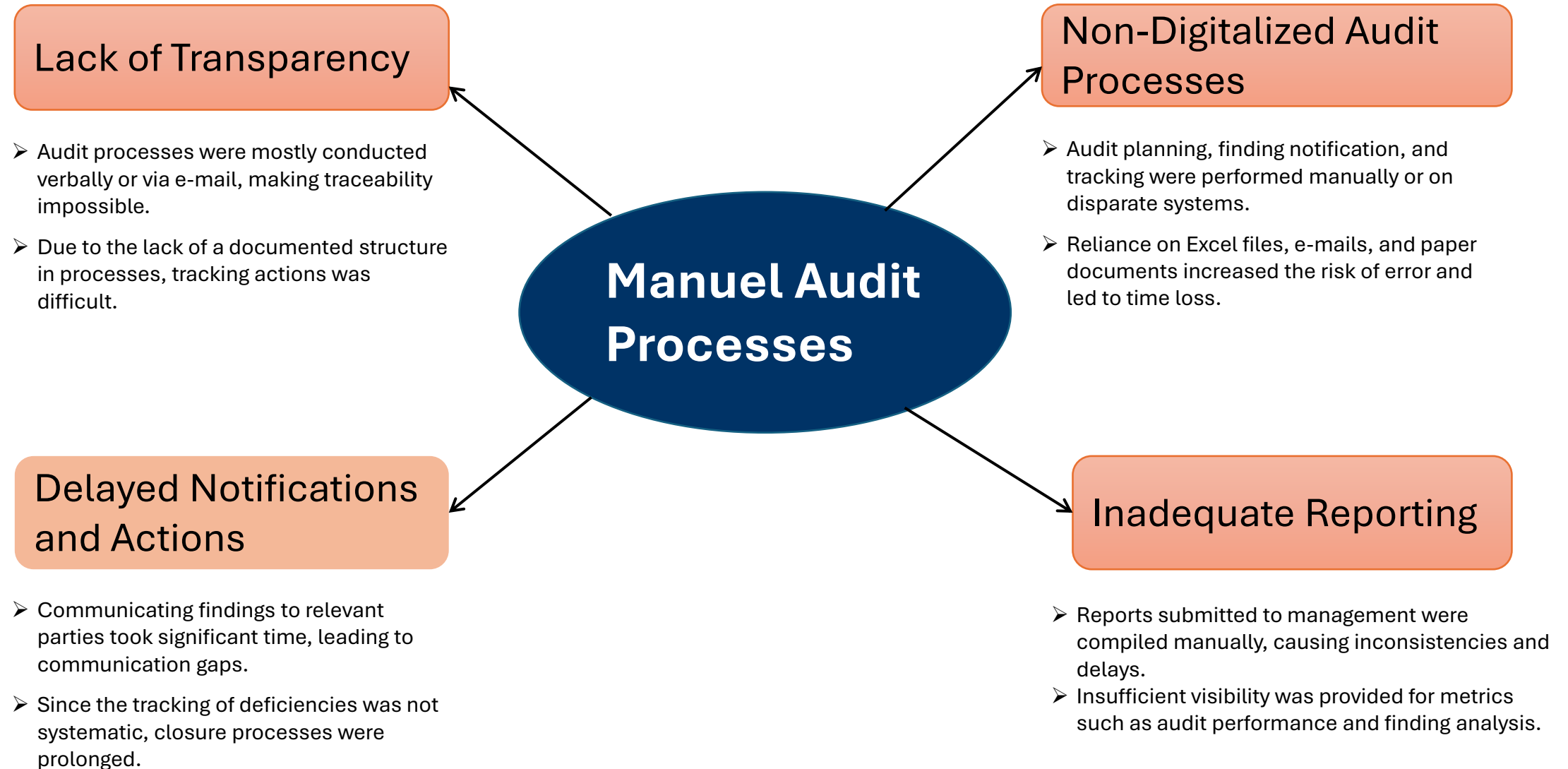
**Internal Control Reporting, Monitoring, and
Audit System**

What is Iris?

- ✓ Iris is a software platform that digitalizes the internal control and audit processes of institutions.
- ✓ It enables the management of all steps—from audit planning and finding tracking to reporting and communication—through a single system.
- ✓ It allows processes to be carried out in a transparent, traceable, and documentable structure



Challenges Faced Before Product Development



Common Industry Issues

- ✓ Non-digitalized audit processes.
- ✓ Operations based on e-mail, Excel, and verbal communication.
- ✓ Challenges in timely finding notification and taking action.
- ✓ Insufficient analysis and heavy manual reporting burden.
- ✓ Deficiencies in regulatory compliance.

Iris has been developed to eliminate all these problems.



Key Features

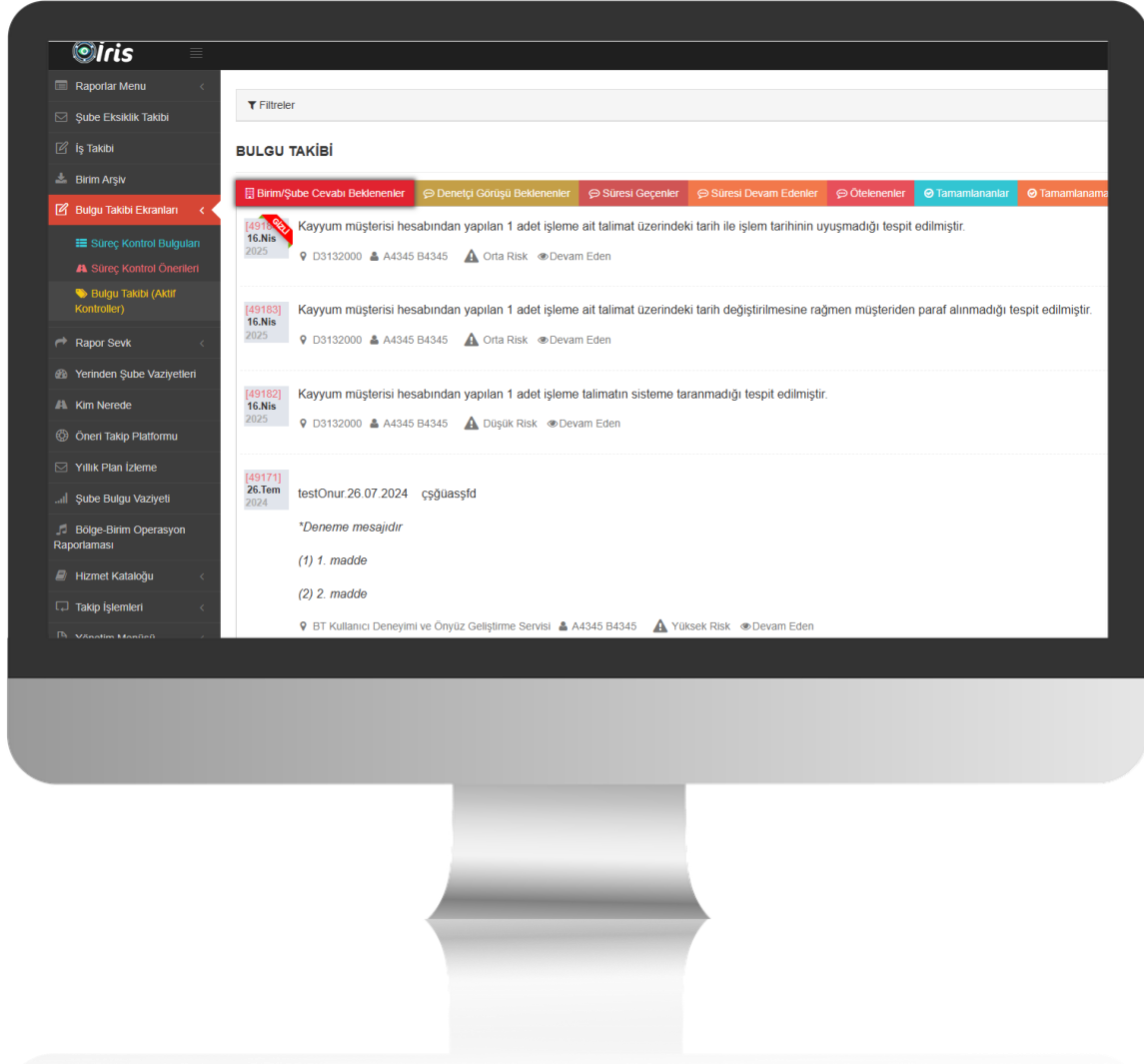


Id	ACL/Birim/Şube	S.	ACL Grup Adı	Veri Oranı	Planlanan Başlan...	Planlanan Bitiş Ta...	Kontrol Tipi	Periyot
36718	PERSONEL_KELIME	1	Personel	0 / 4	06.05.2025	06.05.2025	Merkezdten Kontrol [Senaryo-Model]	Günlük
35678	KREDI_SZL_GIRIS...			0 / 0	23.07.2024	23.07.2024	Merkezdten Kontrol [Senaryo-Model]	
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35696	KOBI_KONTROL			0 / 0	23.07.2024	23.08.2024	Merkezdten Kontrol [Senaryo-Model]	
35700	HAREKETSIZ_YATCEK			0 / 0	23.07.2024	23.07.2024	Merkezdten Kontrol [Senaryo-Model]	
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35670	KOBI_KONTROL			0 / 0	22.07.2024	22.08.2024	Merkezdten Kontrol [Senaryo-Model]	
35674	HAREKETSIZ_YATCEK			0 / 0	22.07.2024	22.07.2024	Merkezdten Kontrol [Senaryo-Model]	

Digitalizes and records audit processes

- ✓ All steps, from audit planning to finding transmission, tracking, and reporting, are recorded and managed via the digital platform.
- ✓ Processes are purified from manual errors, and every stage is documented within the system.

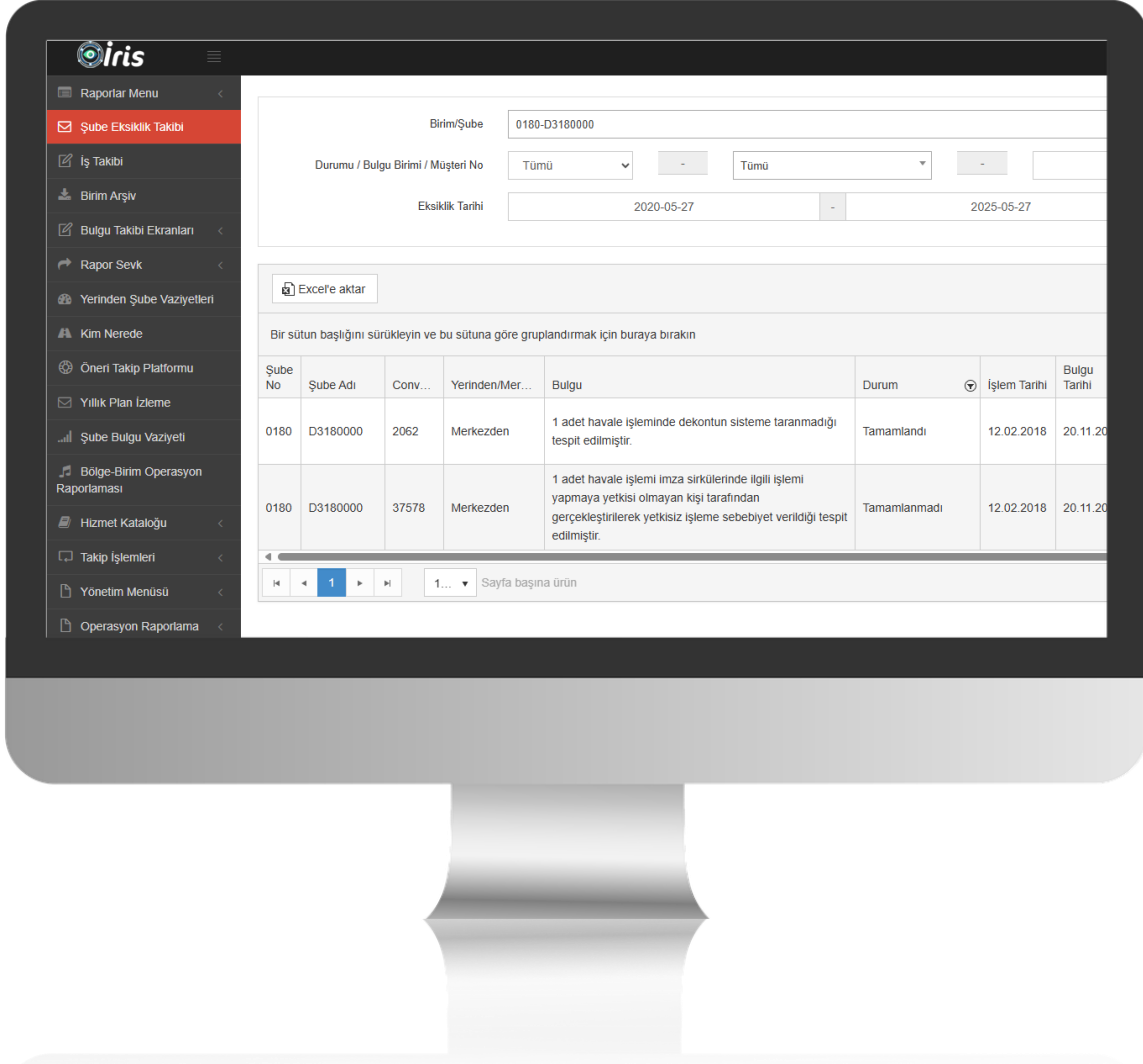




Findings are conveyed to relevant departments and employees digitally

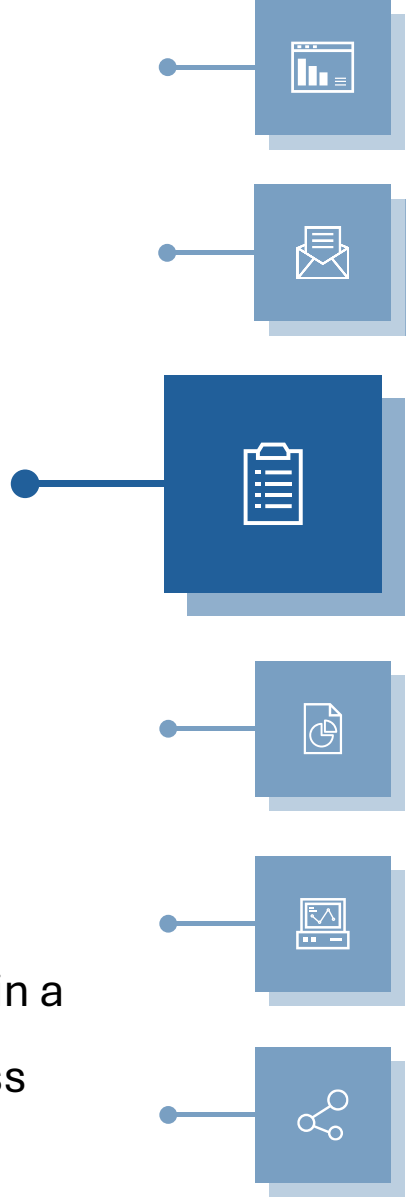
- ✓ Findings detected during an audit are conveyed directly to the relevant employees and departments through the system without the need for manual notifications.
- ✓ This ensures that findings are not delayed, communication is not lost, and the entire process is digitally recorded.

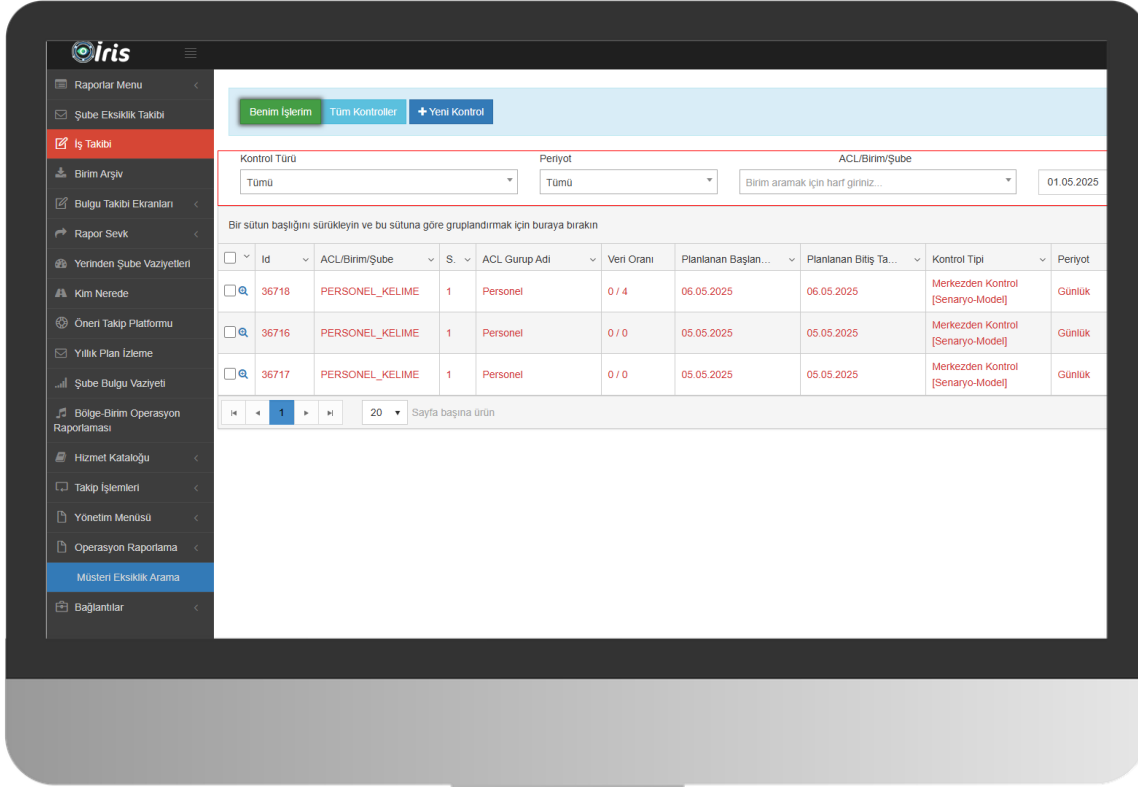




Deficiency tracking is performed by relevant departments

- ✓ Audit findings are automatically transmitted to the relevant departments via the system.
- ✓ Each department can see the deficiencies under its responsibility in a timely manner and follow the process systematically.

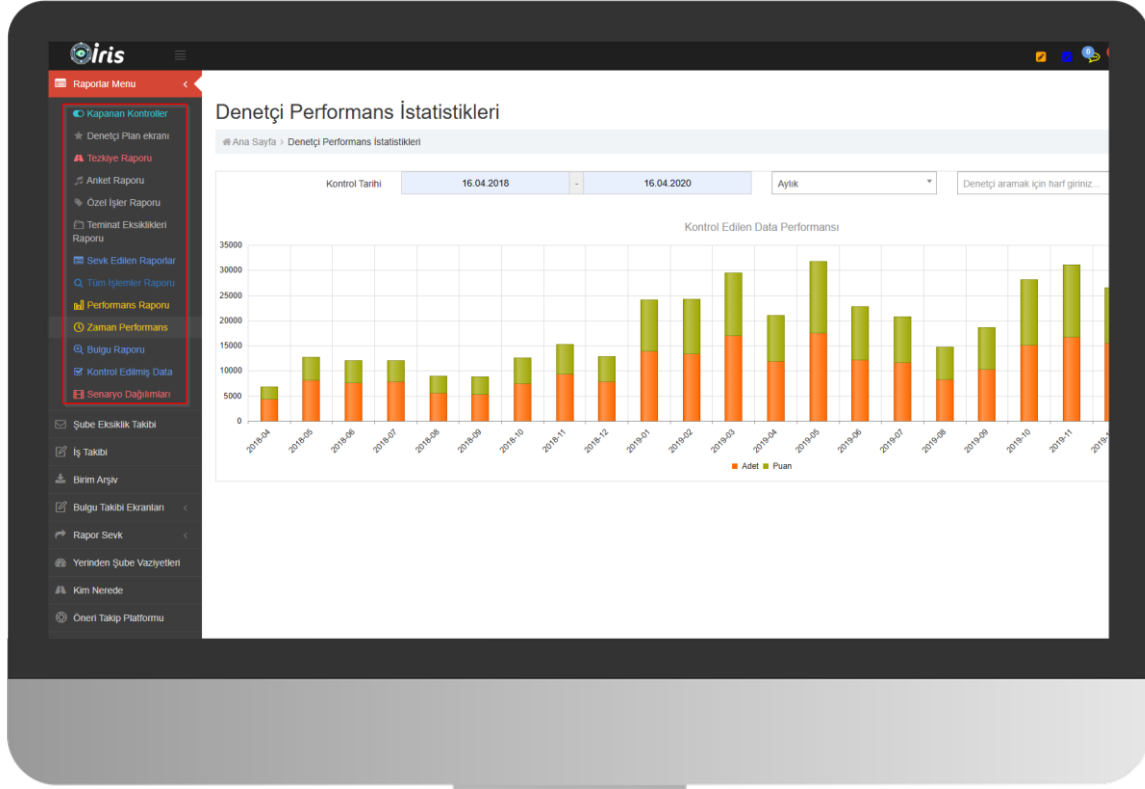




Managerial analysis is simplified through Excel/PDF exports

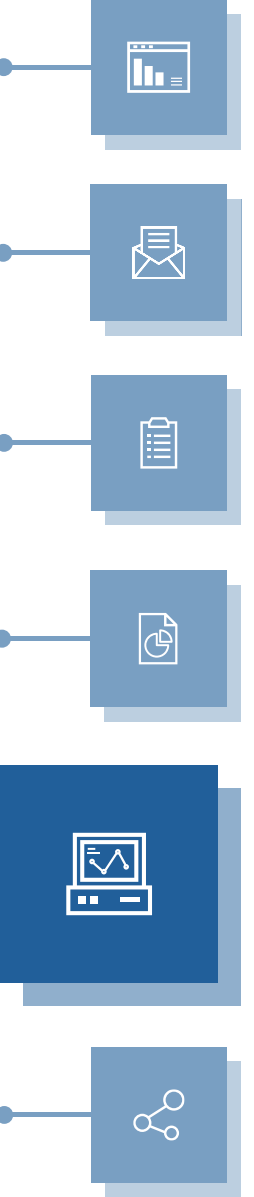
- ✓ Excel and PDF outputs available through the system allow audit results to be easily presented to senior management.
- ✓ This enables departments to quickly analyze data such as the distribution of findings, closure times or employee-based performance.

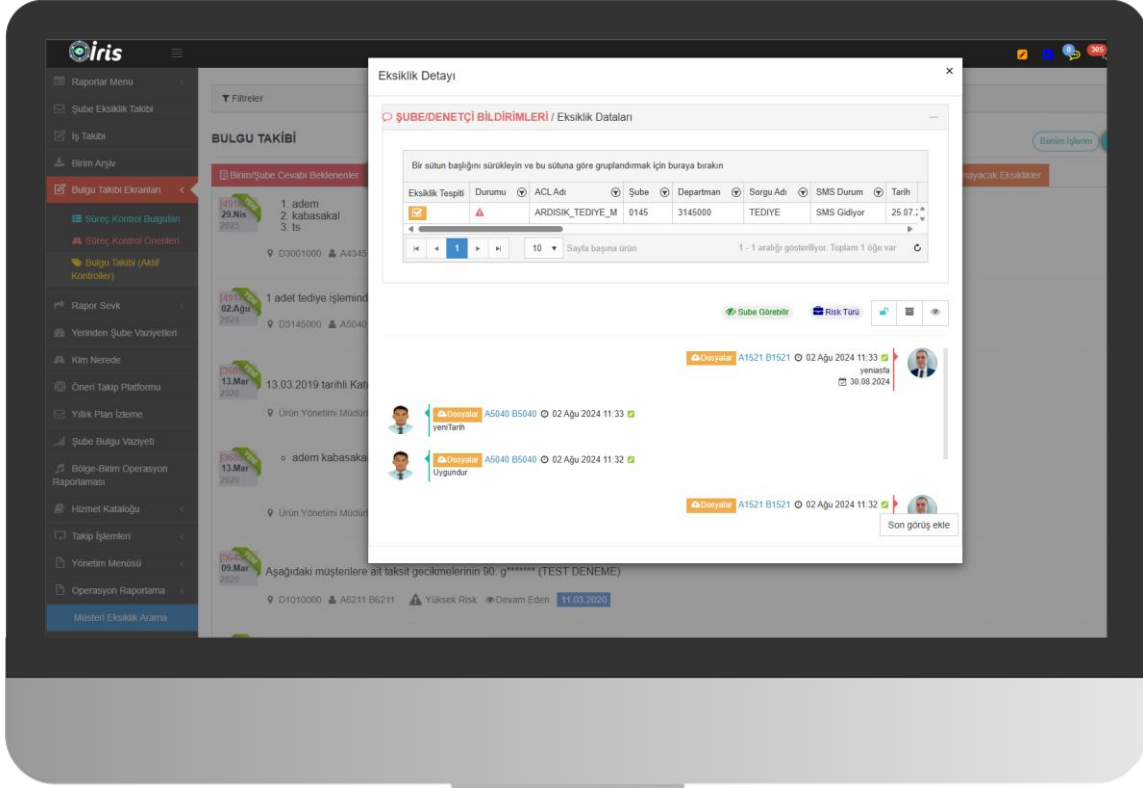




The platform is kept up-to-date through developments and reports

- ✓ The platform is regularly developed in line with user feedback; new modules are added based on new requirements.
- ✓ By monitoring legal changes and internal control standards, the platform is continuously updated to comply with these requirements.











Creates a traceable, documented process in the Auditor-Responsible-Department triangle







- ✓ The system records all communication and actions between the auditor, the responsible party, and the department on the platform in a documented manner.
- ✓ Thus, the process progresses in a traceable and documentable way rather than verbal or e-mail communication.



Gains

	Transparent Audit Process	All user movements, revisions, and correspondence are recorded traceably in the system.
	Time and Resource Savings	Audit, notification, and reporting processes are accelerated; manual tracking is reduced.
	Instant Reporting	Presenting reports to senior management is simplified with Excel and PDF outputs.
	Role-Based Access	Each user accesses only the information within their authorized scope.
	Documented Tracking	Correspondence, explanations, and files related to findings are stored in the system.
	Performance Tracking	Performance data can be analyzed on a branch, department, or auditor basis.

Gains

	Modular Structure	Offers the flexibility to add or remove modules according to the institution's needs.
	Process Automation	Notifications, reminders, and status updates are performed automatically by the system.
	Regulatory Compliance	Legal obligations regarding internal control and auditing are fulfilled.
	Traceable Communication	Communication between the auditor, responsible party, and department is recorded within the system.
	Annual Plan Tracking	Audit plans and their realizations can be monitored on an annual basis.
	Suggestion Tracking	Suggestions reported by departments can be tracked to take action easily.